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Case 09/728554  
12/01/00

# PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Shields et al. for : A DUAL SPACER PROCESS FOR NON-VOLATILE MEMORY DEVICES. Enclosed are:

- ☒ 1 sheet(s) of drawings, 11 pages of application (including title page), and the following Appendices : \_\_\_\_\_.
- ☐ Declaration.
- ☐ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to : Advanced Micro Devices, Inc.
- ☒ Preliminary Amendment; and Combined Declaration and Power of Attorney.

| Claims as Filed                   | Col. 1   | Col. 2   |
|-----------------------------------|----------|----------|
| For                               | No Filed | No Extra |
| Basic Fee                         |          |          |
| Total Claims                      | 4-20     | 0        |
| Indep. Claims                     | 1-3      | 0        |
| Multiple Dependent Claims Present |          |          |

If the difference in col. 1 is less than zero, enter "0" in col. 2.

| Small Entity |        | or | Other Than Small Entity |        |
|--------------|--------|----|-------------------------|--------|
| Rate         | Fee    |    | Rate                    | Fee    |
|              | \$ 355 | or |                         | \$ 710 |
| x\$9=        | \$     | or | 0x\$18=                 | \$0    |
| x\$40=       | \$     | or | 0x\$80=                 | \$0    |
| +\$135=      | \$     | or | +\$270=                 | \$     |
| Total        | \$     | or | Total                   | \$710  |

Please charge my Deposit Account No 23-1925 in the amount of \$: \_\_\_\_\_. A duplicate copy of this sheet is enclosed

A check in the amount of \$: 710 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR § 1.16
- ☒ Any patent application processing fees under 37 CFR §1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

12/1/2000  
Date

David Rozenblat  
David Rozenblat  
BRINKS HOFER GILSON & LIONE  
Registration No 47,044

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|  |                      |   |
|--|----------------------|---|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor | Jeffrey A. Shields                                    |
|  | Title                | A DUAL SPACER PROCESS FOR NON-VOLATILE MEMORY DEVICES |
|  | Atty Docket Number   | 9076/468  |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 1, 2000  
Date

  
Signature

David Rozenblat, Reg. No. 47,044  
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.